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# Nano- and Micro-Mechanical Properties of Ultrafine-Grained Materials Processed by Severe Plastic Deformation Techniques\*\*

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*The processing of bulk metals through the application of severe plastic deformation (SPD) provides the potential for achieving exceptional grain refinement leading to ultrafine-grained (UFG) materials. These materials generally exhibit high strength but very limited ductility at room temperature. The recent development in the nanoindentation technique provides significant feasibility in evaluating the micro-mechanical behavior of UFG materials. Accordingly, this review examines the available experimental results showing the enhancement in strength and ductility through nanoindentation analysis in various materials after different SPD techniques. A comprehensive tabulation is also presented listing the available data for the strain rate sensitivity,  $m$ , in a variety of UFG metals processed by SPD.*

## 1. Introduction

It is now well established that the physical properties of bulk polycrystalline materials depend critically on a range of structural parameters including the dislocation density, the size and coherency of precipitates, the presence and nature of impurities and alloying elements, as well as additional

essential material properties such as the values of the elastic moduli and the stacking fault energy. Nevertheless, the most important structural parameter, and the parameter that plays a major role in determining the strength and plasticity of the material, is the grain size,  $d$ , within the polycrystalline matrix.

Numerous empirical studies have demonstrated that materials having small grain sizes often achieve superior

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mechanical properties. For example, the strength at ambient temperatures is dictated through the Hall–Petch relationship<sup>[1,2]</sup> where the yield strength varies inversely with the grain size raised to a power of one-half so that the strength increases when the grain size is reduced. Moreover, compared with conventional coarse-grained (CG) materials, the ductility may be enhanced with a reduction in grain size. Concurrently, a rise in toughness is often anticipated with reducing grain size and a schematic illustration of the effect of decreasing grain size on several mechanical properties is shown in Figure 1.<sup>[3]</sup> In addition, at elevated temperatures, in the regime where diffusion becomes important, a reduced grain size leads to a potential for achieving superplastic flow and thus a superplastic forming capability.<sup>[4–7]</sup> Accordingly, metallic materials having small grain sizes are very attractive for various structural and functional applications ranging from automotive, aerospace and space engineering industries to electronics and bio-medical applications.<sup>[8,9]</sup> It is now well accepted that ultrafine-grained (UFG) materials are defined as having submicron grains in the range of 100–1 000 nm or nanocrystalline grains with sizes in the range of 10–100 nm.<sup>[10]</sup>

In order to convert a CG metal solid into a material with ultrafine or nanoscale grains, it is necessary to impose an exceptionally high strain on the material so that a high density of dislocations is introduced which leads subsequently to microstructural rearrangement and the forming of an array of grain boundaries. Accordingly, a significant interest has developed in the processing of bulk UFG materials without involving any major changes in the overall dimensions of the work-pieces through the application of severe plastic deformation (SPD).<sup>[11]</sup> It should be noted that UFG materials processed through SPD are referred to as interface-controlled materials where the grain boundaries are specially arranged by severe straining leading to superior mechanical and functional properties.<sup>[12]</sup> Regarding a number of currently available SPD techniques,<sup>[13]</sup> the major SPD processing methods include equal-channel angular pressing (ECAP),<sup>[14]</sup> high-pressure torsion (HPT),<sup>[15]</sup> and accumulative roll-bonding (ARB).<sup>[16,17]</sup>

A critical issue arising during developments in the processing of these UFG materials is that there is often only a limited ductility when the size of the grains is reduced, although a high strength is generally achieved. This is known as the so-called “paradox of strength and ductility”<sup>[18]</sup> which is further described by stating the appropriate maxim “Materials may be strong or ductile, but rarely both at once.”<sup>[19,20]</sup>

A visual presentation of the paradox of strength and ductility was demonstrated in a plot of yield strength versus elongation to failure as shown in Figure 2.<sup>[18]</sup> The yield strength of Cu and Al monotonically increase with increasing plastic deformation by cold rolling (as shown by the percentages added to the lines for Al and Cu) whereas their ductility decreases significantly so that the values of strength and elongation are always within the shaded region demonstrating conventional behavior following the paradox of strength and ductility. A consistent conclusion was also demonstrated in an earlier report surveying the numerous experimental results on the strength and ductility of various UFG materials after SPD as shown in Figure 3.<sup>[21]</sup> Despite the differences in structural states, processing techniques and routes and the levels of grain refinement, the influence of a reduction in grain size on the strength–ductility relationship demonstrates a consistent trend in the paradox of strength and ductility in SPD-processed UFG materials. It should be noted that the blue region in Figure 3 denotes materials after short post-processing annealing which is often important for improving the ductility. This additional procedure offers the potential of ordering the defect structures within the grain boundaries, thereby producing more equilibrated grain boundaries without incurring any significant grain growth.<sup>[22]</sup>

In recent developments of SPD techniques, however, extraordinary combinations of high strength and excellent ductility were observed in several UFG metals, including Al,<sup>[23–25]</sup> Cu,<sup>[26]</sup> Ni,<sup>[27]</sup> Ti,<sup>[28]</sup> and an Fe–Ni–Al–C alloy,<sup>[29]</sup> after different SPD techniques. Moreover, Figure 2 includes limited

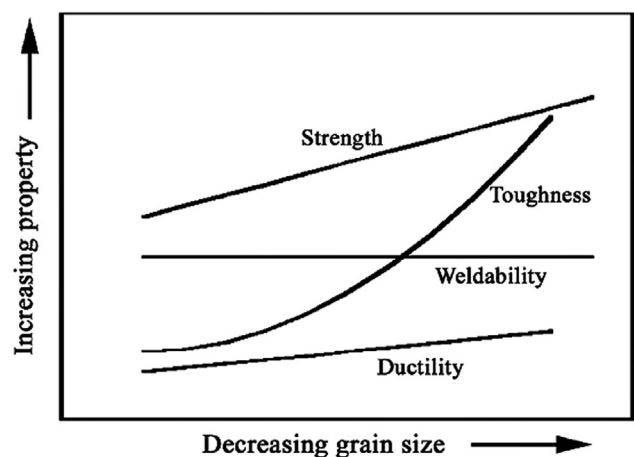


Fig. 1. A schematic plot showing the effect of decreasing grain size on several material properties.<sup>[3]</sup>

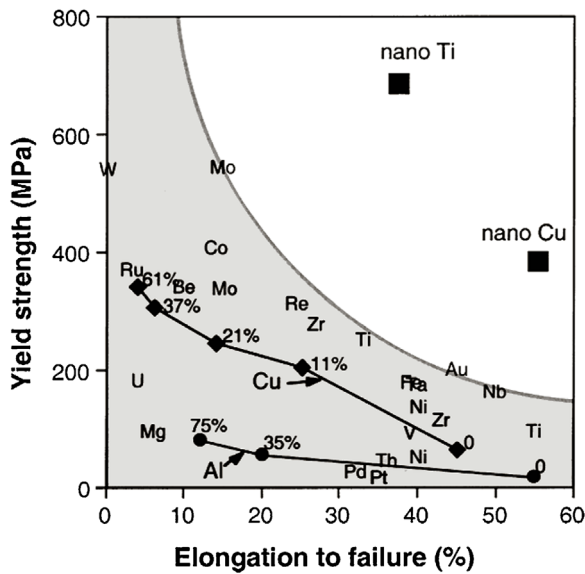


Fig. 2. Conventional plot of yield stress against elongation to failure demonstrating the paradox of strength and ductility.<sup>[18]</sup>

examples of the extraordinary combinations of mechanical properties of nano Cu and nano Ti in the upper white region where the pure Cu was subjected to ECAP for 16 passes and the pure Ti was processed by HPT for 5 revolutions and subsequent heating at 250 °C for 10 min. This excellent combination of high strength and high ductility was suggested as a consequence of the increase in the fraction of high-angle grain boundaries by introducing a high level of straining through SPD which leads to a change in the dominant deformation mechanisms and a consequent increase in the feasibility of grain boundary sliding (GBS) and grain rotation.<sup>[18,20]</sup>

Recent developments in characterization techniques lead to a better understanding of the evolution in the mechanical properties of UFG materials processed by SPD. In particular,

the novel technique of nanoindentation has become a common tool for the simultaneous measurement of a number of mechanical properties by using a small microstructural scale.<sup>[30]</sup> Thus, there have been several studies reporting the use of the nanoindentation technique for investigating the plastic deformation properties of SPD-processed metals<sup>[31]</sup> while numerous studies demonstrated conventional mechanical testing in tension and compression using bulk UFG metals.

Accordingly, the present report was initiated to review the available experimental results to date that provide an enhancement in strength and ductility in terms of the micro-mechanical behavior at room temperature (RT) in a range of metals and alloys after SPD processing. Specifically, this report has several objectives. First, the following section describes a promising characterization method, the nano-indentation technique, for determining strength and ductility in UFG materials after different SPD techniques. Next, recent nanoindentation examinations on UFG metals are described after several different SPD techniques including ARB, ECAP, and HPT processing. Finally, the last section presents an alternative approach to understand the enhanced ductility through grain refinement by tabulating the available data of the strain rate sensitivity,  $m$ , in a series of UFG metals processed by SPD.

## 2. Characterization of Strength and Ductility

It is well understood that the lower overall ductility in UFG materials is due to the low rate of strain hardening where the lowered strain-hardening rate competes with the increasing strain rate sensitivity in these materials having small grain sizes.<sup>[12,32]</sup> Under tensile stresses, the accumulated dislocations of the crystalline state cause work hardening and lead to a difficulty in producing plastic deformation. Thus, it is reasonably expected that a faster rate of work hardening with reasonable strain rate sensitivity will lead to dislocation accumulation within the grains and, thus, to a delay in the occurrence of localized deformation, such as necking, so that the materials are able to exhibit reasonable levels of tensile ductility. Accordingly, precise testing methods for evaluating and quantifying the mechanical properties of strength and ductility are essential requirements for achieving a better understanding of the mechanical characteristics of these UFG materials as well as the significance of the SPD techniques.

Plastic properties include both the strength properties and the creep, fatigue, and fracture characteristics and these are all structure-sensitive. These mechanical properties in a material determine the response to the application of a stress which is applied in tension, compression, or shear and in a uniaxial or a combination mode. For over 100 years, studies of plastic deformation involved using specimens in conventional tensile testing and this has been the main source for information on the mechanical properties of these materials. The values obtained from this testing for stress and strain are considered as the immediate practical values of the mechanical properties of the material.

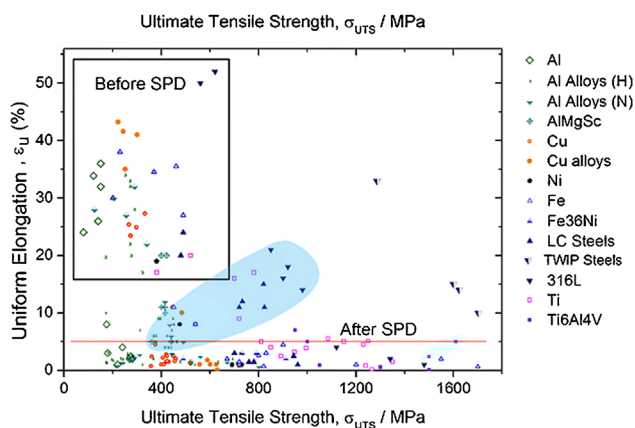


Fig. 3. Schematic diagram revealing trends in the relationship between the ultimate tensile strength and ductility in various SPD materials where the blue region denotes the materials after short post-processing annealing.<sup>[21]</sup>





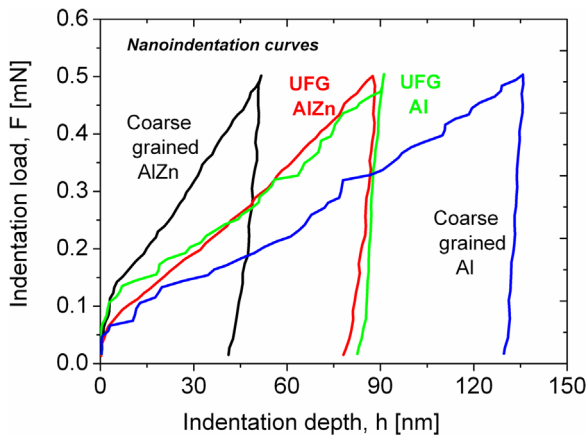


Fig. 5. Load-displacement curves for pure Al and an Al-Zn alloy having different grain sizes.<sup>[54]</sup>

surface area for conventional Vickers hardness whereas it is the projected area for the nanoindentation hardness.<sup>[54]</sup> Second, there is an indentation size effect which is manifest as an increase in  $H$  with decreasing indentation load  $P$  (and depth  $h$ ) for sharp indentations.<sup>[57–59]</sup> Besides the fundamental nanoindentation procedure, the indentation time-holding test has gradually taken the place of traditional uniaxial tensile or compressive load-constant testing in order to study the time-dependent plastic deformation of materials, also referred to as creep.<sup>[60,61]</sup>

The strain rate sensitivity,  $m$ , can be determined using the measured hardness value at a given strain,  $\varepsilon$ , and absolute temperature,  $T$ , by the expression<sup>[62,63]</sup>.

$$m = \left( \frac{\partial \ln \sigma_f}{\partial \ln \dot{\varepsilon}} \right)_{\varepsilon, T} = \left( \frac{\partial \ln(H/C)}{\partial \ln(0.01 \dot{\varepsilon}_i)} \right)_{\varepsilon, T} \quad (2)$$

where the flow stress,  $\sigma_f$ , is estimated by the Tabor empirical relation of  $\sigma_f \approx H/C$  where  $C$  is a constraint factor of  $\approx 3$  for fully plastic deformation<sup>[64]</sup> and the indentation strain rate,  $\dot{\varepsilon}_i$ , is estimated by  $\dot{\varepsilon}_i = h^{-1}(dh/dt)$ , where there is an empirical relation for the uniaxial strain rate of  $\dot{\varepsilon} \approx 0.01 \dot{\varepsilon}_i$ .<sup>[65]</sup>

In addition, through the nanoindentation testing, the mechanism of the rate-dependent plastic deformation may be estimated from the value of the activation volume  $V_p^*$  which is given by<sup>[66,67]</sup>

$$V_p^* = \sqrt{3}kT \left( \frac{\partial \ln \dot{\varepsilon}}{\partial \ln \sigma_f} \right) = \sqrt{3}kT \left( \frac{\partial \ln(0.01 \dot{\varepsilon}_i)}{\partial \ln(H/C)} \right) = \frac{C\sqrt{3}kT}{mH} \quad (3)$$

where  $k$  is Boltzmann's constant. In general, the value of  $V_p^*$  varies by orders of magnitude for different rate-controlling processes with values in the range of  $\approx 100\mathbf{b}^3$  to  $\approx 1\,000\mathbf{b}^3$  for dislocation glide of face centered cubic (f.c.c.) metals,<sup>[68]</sup>  $\approx 10\mathbf{b}^3$  for GBS<sup>[69]</sup> and  $\approx \mathbf{b}^3$  for diffusion through the grain boundary or crystalline lattice<sup>[68,70]</sup> where  $\mathbf{b}$  is the Burgers vector.

It is important to note that there is no single technique describing all mechanical properties of materials and

therefore multiple techniques must be utilized to interpret the properties in different length scales. In practice, nanoindentation is generally utilized for detecting and understanding the plastic yield on the nanoscale so that the incipient plasticity focuses on the very early stage of deformation where the transition of elastic to plastic deformation occurs.<sup>[30]</sup> Conversely, the nanoindentation technique is not usable to determine the classical measures of overall ductility such as elongations to failure and uniform elongations recorded during conventional tensile testing. Nevertheless, it has been well demonstrated that the measurements of the rate sensitivity are sufficient to permit a direct prediction of the stability of plastic deformation at elevated temperatures.<sup>[71,72]</sup> Moreover, the implication of ductility and the strain rate sensitivity was applied to understand the plasticity and ductility of nanostructured metals when tested at room temperature (RT).<sup>[73,74]</sup> Thus, the nanoindentation technique provides sufficient and valuable information on strength and ductility in UFG materials processed by SPD.

### 3. Recent Nanoindentation Examinations on UFG Metals after SPD Processing

There are numerous studies documenting improved mechanical properties including strength and ductility in UFG materials processed through the application of SPD. Based on these data, recent nanoindentation examinations are presented in this section for a series of UFG non-ferrous metals after various SPD techniques including ARB, ECAP, and HPT processing.

#### 3.1. Nanoindentation on UFG Al Processed by ECAP and ARB

There were limited earlier studies using the nanoindentation technique on nanocrystalline materials produced by electrodeposition.<sup>[75–77]</sup> Nevertheless, the very first examination using nanoindentation on an SPD-processed material was demonstrated on a UFG Al (99.5% pure) processed through ECAP for 12 passes at RT where the grain size was refined to  $\approx 800$  nm.<sup>[78]</sup> In the examination, a constant strain rate (CSR) indentation method was used by setting a maximum displacement so that the  $P$ - $h$  curves shown in Figure 6 are different from those shown in Figure 5 where the maximum load,  $P_{\max}$ , was set under the CSR testing conditions. Thus, the effective indentation strain rate,  $\dot{\varepsilon}_i$ , may be defined by comparison with general tensile testing with the indentation depth rate,  $\dot{h}$ , divided by the indentation depth,  $h$ . Accordingly, the strain rate sensitivity is then calculated by the change in the measured  $H$  values under several different indentation strain rates. It should be noted that the constant indentation strain rate mode considers an effective strain rate which takes an average rate over the whole deformed indentation volume since stress and strain change nonlinearly in the deformed volume and it is not consistent with conventional tensile testing where the strain rate is controlled as a constant.

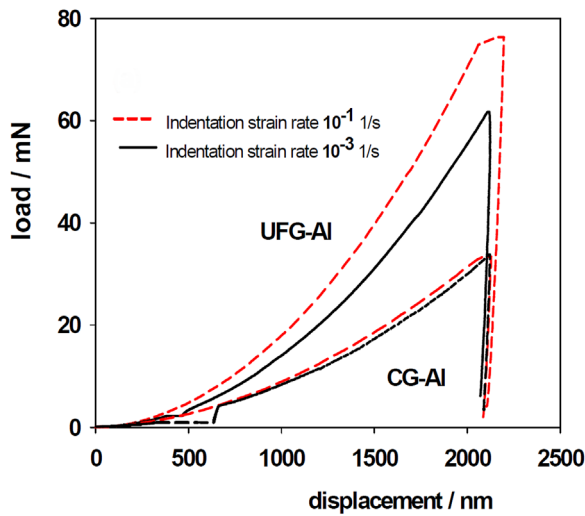


Fig. 6. Load-displacement curves at different indentation strain rates demonstrating the indentation strain rate sensitivity for UFG- and CG-Al.<sup>[78]</sup>

The representative  $P-h$  curves are displayed in Figure 6 for UFG-Al and CG-Al tested at constant indentation strain rates of  $10^{-1}$  and  $10^{-3} \text{ s}^{-1}$ . It is apparent that the UFG-Al demonstrates an enhanced strain rate dependency by comparison with CG-Al such that the measurements give  $m \approx 0.07$  for UFG-Al where this is much higher than  $m \approx 0.027$  for CG-Al.<sup>[78]</sup> Thus, the enhanced strain rate sensitivity may provide the dominant contribution for increasing ductility in the UFG-Al.

A further investigation by nanoindentation was reported on a UFG-Al processed by ARB at RT for 8 cycles to impose an effective strain of  $6.4^{[31,79]}$  where the grain size was reduced to  $d \approx 0.32 \mu\text{m}$ .<sup>[80]</sup> The ARB-processed UFG-Al sample was compared to a UFG-Al processed by ECAP for 6 passes at RT in order to impose a consistent effective strain of  $6.3^{[31]}$  where the sample grain size was reduced to  $\approx 0.5 \mu\text{m}$ .<sup>[24]</sup> The nanoindentation testing recorded the hardness values of the UFG-Al samples at a series of constant indentation strain rates. The results were then applied to calculate the strain rate sensitivities as shown in Equation 2 and a summary is given in Figure 7 for the UFG-Al after ARB and ECAP.<sup>[31]</sup> It is seen the values of strain rate sensitivity were very similar at 0.068 and 0.069 for the UFG-Al samples after ECAP and ARB.

Nevertheless, the pile-up behavior of these two UFG-Al are very different as shown in Figure 8 where the SEM images are taken at the nanoindentation indents on the sample after (a) ARB and (b) ECAP.<sup>[31]</sup> It was apparent in the ARB sample that pile-up and lift-up behavior were observed only at the edges of the indent parallel to the layered structure with the elongated grains after ARB which is vertical in Figure 8a whereas pile-up behavior was observed homogeneously

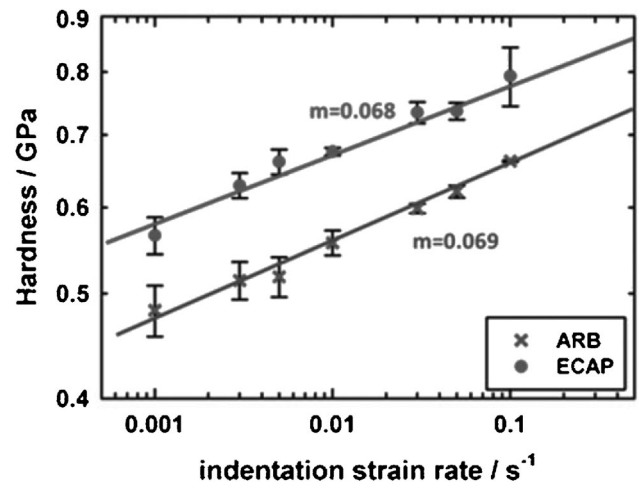


Fig. 7. Determination of the strain rate sensitivity from the local measurements through nanoindentation for UFG-Al processed by ARB and ECAP.<sup>[31]</sup>

around the indent due to the equiaxed grains after ECAP as shown in Figure 8b. Because of the significant difference in the morphology around the indents but with similar  $m$  values, besides the occurrence of GBS a mechanism of thermally-activated annihilation of dislocations at grain boundaries was activated for the strain rate sensitive behavior of the UFG-Al after ARB and ECAP.<sup>[31]</sup>

It should be noted that there are only limited reports to date on UFG pure metals processed by ECAP and examined by nanoindentation<sup>[81-83]</sup> and this is attributed to the relatively larger processed sample dimensions leading to a relative ease in using conventional tensile testing for mechanical characterization.

### 3.2. Nanoindentation on UFG Metals Processed through HPT

Among the reported SPD techniques, one of the most attractive methods refers to the processing by HPT where this type of processing leads to exceptional grain refinement that is not generally achieved using other procedures.<sup>[84]</sup> Excellent grain refinement through the HPT technique has been reported in a range of metals in the last decade and it has

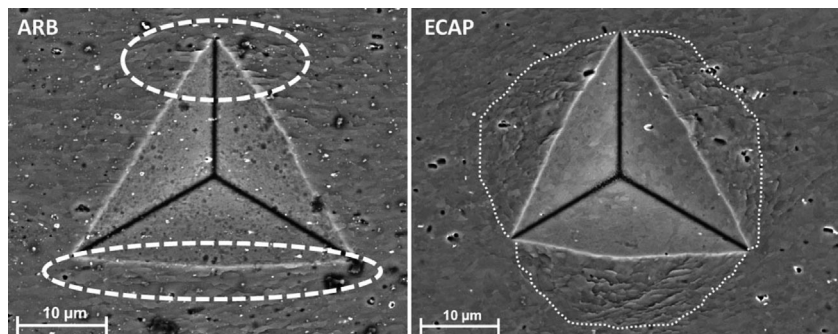


Fig. 8. SEM images of nanoindentation indents in an ARB-processed Al (left) and an ECAP-processed Al (right).<sup>[31]</sup>

become apparent that HPT processing is attracting very high attention in the materials science community. Accordingly, four recent reports of nanoindentation measurements are summarized for a series of metals and alloys after processing by HPT.

### 3.2.1. ZK60 Magnesium Alloy

Processing by HPT at RT was conducted on an extruded ZK60 magnesium alloy consisting of a bi-modal grain distribution with a fraction of >50% of coarse grains having a size of  $\approx 25 \mu\text{m}$  surrounded by several finer grains of  $\approx 4\text{--}5 \mu\text{m}$ .<sup>[85]</sup> Processing through 2 turns under a compressive pressure of 6.0 GPa at 1 rpm led to a bi-modal microstructure with coarse grains with a size of  $\approx 20 \mu\text{m}$  and fine grains having an average size of  $\approx 1.0\text{--}1.5 \mu\text{m}$  existing with a high area fraction of  $\approx 75\%$ . This unique microstructural evolution in magnesium alloys is explained by necklace-like dynamic recrystallization (DRX) where the concentration of deformation occurs across the new fine grains.<sup>[86]</sup>

The nanoindentation hardness at four different indentation strain rates of  $0.0125\text{--}0.1 \text{ s}^{-1}$  was examined at the edge of each disk and the results are displayed with increasing numbers of HPT turns,  $N$ , in Figure 9.<sup>[85]</sup> The recalculated  $Hv$  values from Vickers microhardness measurements, which are comparable to  $H$ , are included in the plot for comparison purposes. A direct proof of an indentation size effect can be seen in the difference in the datum points between the nanoindentation hardness and Vickers microhardness. Nevertheless, both  $H$  and  $Hv$  show a consistent trend of hardness which increases rapidly in the early stages of HPT and thereafter saturates toward maximum values.

In this study, two different nanoindentation tests were conducted on the as-extruded sample without HPT and on the disk edges of the ZK60 alloy after HPT through 2 turns at four different indentation strain rates from  $0.0125$  to  $0.1 \text{ s}^{-1}$  using a CSR testing mode and strain-rate jump (SRJ) testing mode.<sup>[85]</sup> These two tests were performed with a three-sided pyramidal Berkovich indenter in order to estimate  $m$  following Equation 2. The estimated  $m$  values were plotted with increasing numbers of HPT turns as shown in Figure 10.<sup>[85]</sup> It should be noted that the essential merit of the SRJ test is that the estimation is conducted from a single indentation whereas multiple indentations are required in the CSR tests.

Negligible differences are observed in the  $m$  values for these two procedures, such as  $\approx 0.048$  from SRJ and  $\approx 0.043$  from CSR after HPT for 2 turns. The overall trend with increasing  $N$  is consistent for these two testing modes through the nanoindentation analysis. Thus, the  $m$  value of  $\approx 0.035\text{--}0.040$  in the as-extruded condition prior to HPT was enhanced significantly to  $m \approx 0.043\text{--}0.047$  through 1/2 turn and thereafter the values remain reasonably constant up to 2 turns by HPT. This result is in reasonable agreement with a recent report on pure Mg after extrusion showing  $m = 0.03$  which was also measured by the CSR method through nanoindentation analysis.<sup>[87]</sup>

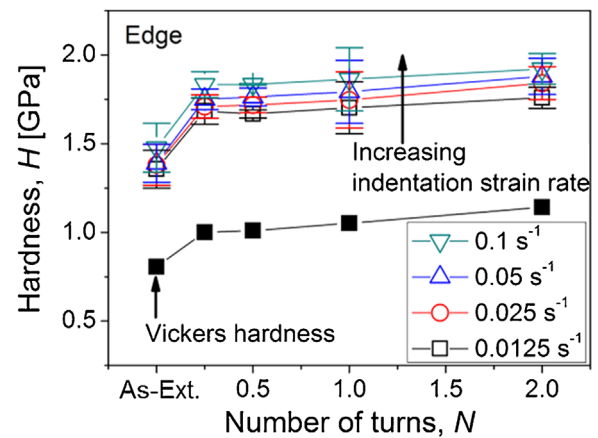


Fig. 9. Variations in nanoindentation hardness and Vickers microhardness as a function of the number of HPT turns for a ZK60 magnesium alloy: "As-Ext." denotes the as-extruded condition.<sup>[85]</sup>

### 3.2.2. Zn–22% Al Eutectoid Alloy

Examinations were conducted using a commercial Zn–22% Al eutectoid alloy consisting of a binary microstructure with an Al-rich  $\alpha$  phase and a Zn-rich  $\beta$  phase. Several earlier reports demonstrated the capability of attaining excellent superplastic properties with a low work-hardening rate at RT<sup>[88–90]</sup> so that the alloy is applied for tuned mass dampers to reduce seismic vibrations in building structures.<sup>[91,92]</sup> The processing by HPT was conducted at RT under a compressive pressure of 6.0 GPa for total numbers of  $N = 1, 2,$  and  $4$  turns at 1 rpm.<sup>[93]</sup> The initial material contained both essentially equiaxed grains with an average size of  $\approx 1.4 \mu\text{m}$  and a lamellar structure with thicknesses of  $\approx 100 \text{ nm}$ . After HPT processing, the disk edges of the material achieved significant grain refinement to  $\approx 400$  and  $\approx 350 \text{ nm}$  through HPT after 2 and 4 turns, respectively.

An analysis by nanoindentation was performed at the disk edges of the HPT-processed Zn–Al alloy. Representative  $P\text{--}h$  curves are given in Figure 11<sup>[66]</sup> where the main plot shows

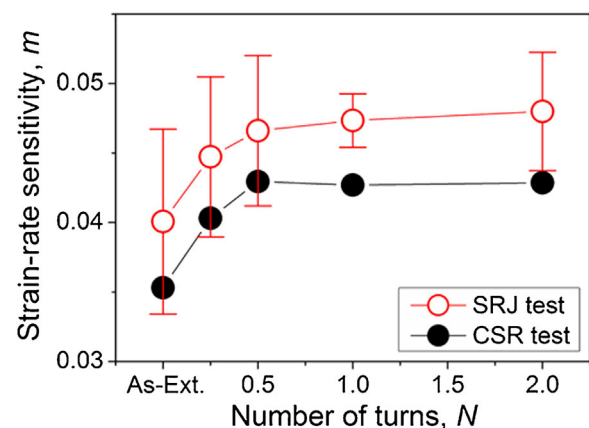


Fig. 10. Variations of the strain rate sensitivity with different levels of torsion straining for a ZK60 magnesium alloy: data were obtained through CSR and SRJ testing.<sup>[85]</sup>



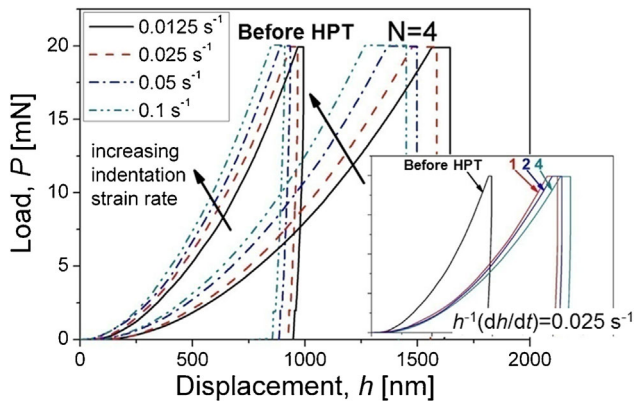


Fig. 11. Typical  $P$ - $h$  curves obtained at different indentation rates for a Zn-22% Al alloy in an as-annealed condition and after HPT for 4 turns where an inset image shows the change in the curve with the number of turns at an indentation strain rate of  $0.025 \text{ s}^{-1}$ .<sup>[66]</sup>

samples for the as-annealed condition prior to HPT and  $N = 4$  turns tested at four different  $\dot{\epsilon}_i$  and the inset shows all four samples tested at  $\dot{\epsilon}_i = 0.025 \text{ s}^{-1}$ . Two important tendencies are apparent in the Zn-Al alloy. First, at any given indentation strain rate, the displacement at the peak load increases after HPT and with increasing  $N$ . Second, there is a significant rate-dependency on the displacement at the peak load and it is more significant after HPT so that the strain rate sensitivity calculated using Equation 2 is  $m > 0.026$  in the as-processed Zn-Al samples by HPT up to 4 turns and thus is much higher than the as-annealed sample showing  $m = 0.125$ .

Images of the in situ nanoindentation are shown in Figure 12a for the as-annealed sample without HPT where the shear-off behavior by GBS is apparent in the pile-up region due to the large grain size.<sup>[66]</sup> The shear-off behavior of UFG metals is evidence for GBS and the unit of shear-off is similar to the grain size.<sup>[79,94,95]</sup> The ex situ nanoindentation images taken under  $P_{\max} = 20 \text{ mN}$  at  $\dot{\epsilon}_i = 0.025 \text{ s}^{-1}$  are shown in Figure 12b-e for the sample before HPT and the samples after HPT for  $N = 1, 2$ , and 4 turns, respectively, where there is clear shear-off phenomena for all samples.<sup>[66]</sup> The increase in indentation size with increasing HPT turns confirms the decrease in hardness with increasing  $N$  and the very clear shear-off behavior is observed especially in the HPT-processed disks up to 4 turns, thereby providing direct proof of active GBS in the UFG Zn-22% Al alloy processed by HPT. Thus, the reports on the ZK60 and Zn-Al

alloys suggest the recognition of a consistent trend of enhanced micro-mechanical plastic behavior in the UFG metals after HPT.

It should be noted that the ZK60 alloy as demonstrated earlier exhibits a hardness behavior involving strain hardening without any apparent dynamic recovery<sup>[96]</sup> whereas, as documented in a recent report,<sup>[97]</sup> most commercial purity metals and alloys exhibit two types of softening behavior. Specifically, the softening behavior by high-purity metals shows strain hardening in the very early stage of deformation followed by softening due to the microstructural recovery. Another softening behavior is for metals and alloys having low melting temperatures where these materials show strain softening with weakening due to a significant reduction in the hard precipitates under severe straining by HPT<sup>[98]</sup> and a representative metal for this behavior is the Zn-22% Al eutectoid alloy<sup>[99]</sup> and the Pb-62% Sn eutectic alloy.<sup>[100]</sup> Thus, using nanoindentation analysis, a very recent report examined the consistent behavior of softening with weakening in a Pb-62% Sn alloy after HPT for 1 turn at 3.0 GPa.<sup>[56]</sup>

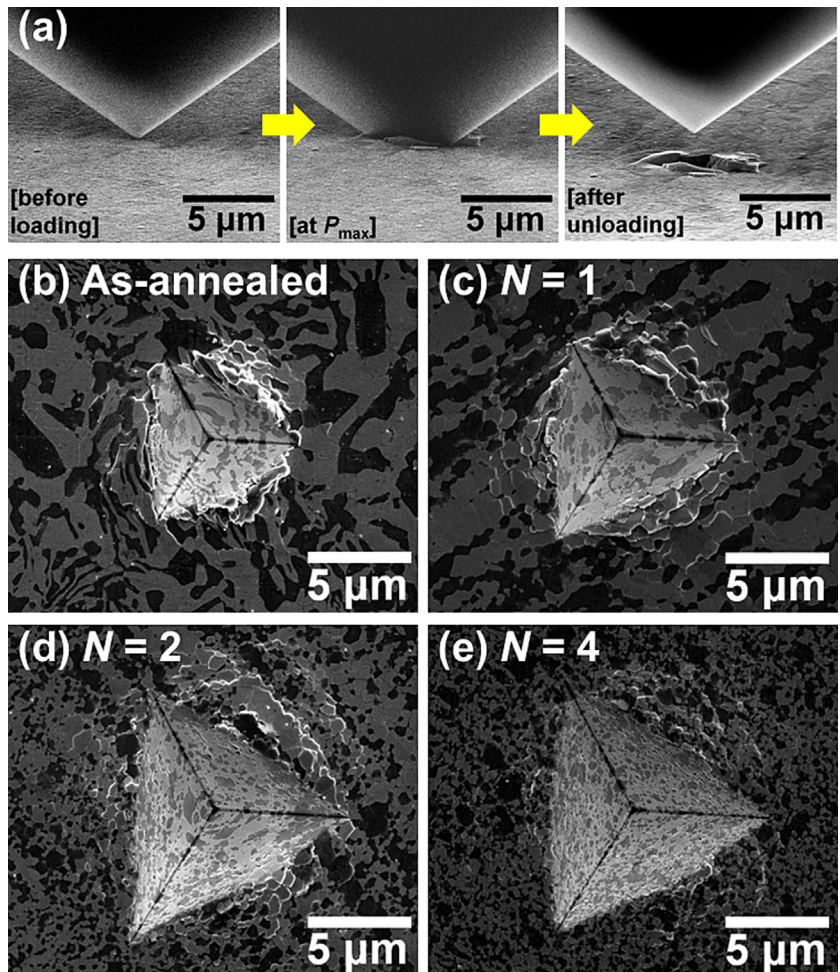


Fig. 12. (a) In situ nanoindentation images of the Zn-22% Al alloy after annealing without HPT and SEM images of the indentations taken under  $P_{\max} = 20 \text{ mN}$  at  $\dot{\epsilon}_i = 0.025 \text{ s}^{-1}$  for (b) the as-annealed sample without HPT and the alloy after HPT for (c) 1 turn, (d) 2 turns, and (e) 4 turns.<sup>[66]</sup>



### 3.2.3. High Entropy Alloy

A high entropy alloy (HEA) system having a composition of  $\text{Co}_{20}\text{Cr}_{20}\text{Fe}_{20}\text{Mn}_{20}\text{Ni}_{20}$  (in at%) was prepared by casting. Regardless of the composition, the as-cast HEA has an f.c.c. single phase with an average grain size of  $\approx 40 \mu\text{m}$ .<sup>[67]</sup> Accordingly, the HEA was processed by HPT at RT under 6.0 GPa for totals of 1/4, 1/2, 1, and 2 turns.<sup>[61,67]</sup>

The microstructure after HPT was observed by TEM and the micrographs with SAD patterns are shown in Figure 13a and b for the disk edges of the HEA after 1/4 and 2 turns, respectively.<sup>[61,67]</sup> Direct measurements from the micrographs showed the alloy has a UFG microstructure with average grain sizes of  $\approx 60$  and  $\approx 40$  nm at the disk edges after HPT for 1/4 and 2 turns, respectively. The SAD patterns demonstrate clear ring patterns and indicate the successful achievement of nanoscale grains without preferred crystallographic orientation for both samples. Thus, a nanocrystalline structure was developed in the HEA in the very early stages of HPT processing whereas HEA is known to have a stable microstructure during plasticity attributed to the requirement of a high activation energy leading to sluggish diffusion. It should be noted that there was no evidence of a phase transformation during the processing.

An examination by nanoindentation was conducted on the HEA before and after HPT up to 2 turns at indentation strain rates from  $0.0125$  to  $0.1 \text{ s}^{-1}$ . The main plot in Figure 14 provides representative  $P-h$  curves for the different indentation strain rates for the as-cast and the sample after HPT for 2 turns.<sup>[67]</sup> The arrows in the main plot demonstrate the effect of increasing indentation strain rate. The inset provides representative  $P-h$  curves taken at a strain rate of  $0.0125 \text{ s}^{-1}$  for the as-cast specimen and the disk processed by HPT for up to 2 turns.

There are two important conclusions from Figure 14. First, it is apparent from the inset that the HPT-processed nanostructured HEA shows a much shorter displacement at the fixed peak load than the as-cast sample, thereby indicating the significantly improved hardness in the HEA by HPT processing. Within the HPT samples, there are very limited

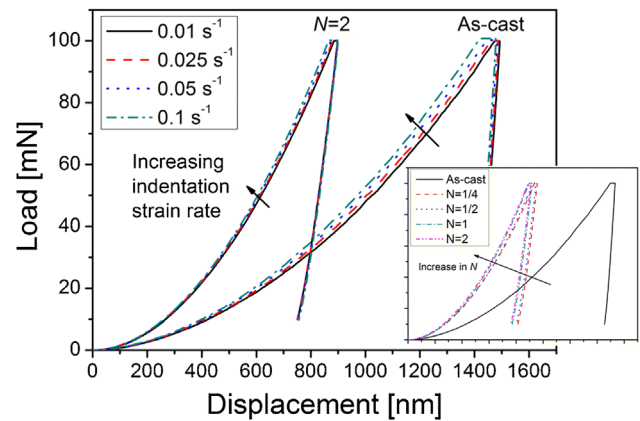


Fig. 14. Representative  $P-h$  curves obtained at different indentation rates for the as-cast condition and  $N=2$  turns with inset image showing the variation in the curve with increasing HPT turns at a fixed strain rate of  $0.025 \text{ s}^{-1}$ .<sup>[67]</sup>

displacements at the peak load toward the left leading to higher hardness, thereby demonstrating the achievement of saturated hardness and thus a nano-scale microstructure in the very early stage of HPT through 1/4 turn. Second, it is evident for both the as-cast and the processed HEA samples that the maximum displacement decreases as the indentation strain rate increases whereas the rate dependency becomes relatively small after deformation through HPT.

The value of  $m$  was estimated for the HEA by plotting  $H/3$  versus strain rate for each sample condition as shown in the inset of Figure 15 and the evolution in the value of  $m$  with increasing numbers of HPT turns is shown in the main plot of Figure 15.<sup>[67]</sup> The results demonstrate that, although the values of  $m$  are not improved by HPT and this is different from the ZK60 and Zn-22% Al alloys described earlier, the HPT processing provides an excellent potential for achieving superior strength while maintaining excellent plasticity at RT in the nanostructured HEA. There is very limited research available to date on HEA processed by HPT<sup>[101,102]</sup> and additional studies are indispensable in order to achieve a better understanding of the significant change toward the nano-scale microstructure through HPT processing at ambient temperature.

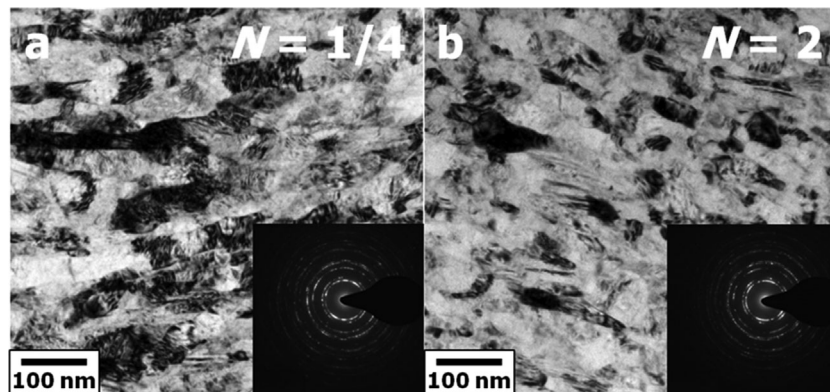


Fig. 13. Representative TEM images and SAD patterns (inset) taken at the edges of the HEA disks after HPT for (a) 1/4 and (b) 2 turns.<sup>[61,67]</sup>

### 3.2.4. An Intermetallic-Based Metal Matrix Nanocomposite

A very recent study demonstrated the formation of a new Al-Mg alloy system from separate disks of an Al-1050 aluminum alloy and the ZK60 alloy through diffusion bonding by means of conventional HPT at RT under a compressive pressure of 6.0 GPa.<sup>[42,103,104]</sup> After 5 turns by HPT, a detailed microstructural analysis was conducted at the disk edge using TEM and a representative photo is shown in Figure 16 where the Al matrix phase consists of a

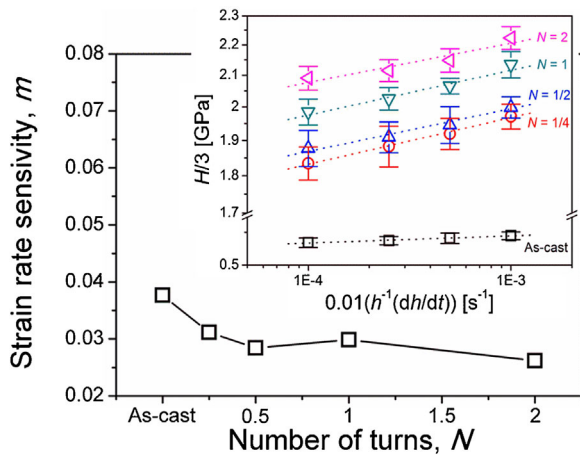


Fig. 15. Variation in  $m$  for the HEA before and after HPT for increasing numbers of turns: inset showing  $H/3$  versus strain rate for the samples through 2 HPT turns.<sup>[67]</sup>

layered structure having thicknesses of  $\approx 90\text{--}120\text{ nm}$  and the average grain size,  $d$ , in the Al matrix phase was  $\approx 190\text{ nm}$ <sup>[42,103,104]</sup> There was a single visible Mg phase in Figure 16 and it had a homogeneous bonding interface with the Al matrix without any visible voids. Moreover, within the Al matrix, several heterogeneously distributed thin layers were observed with an average thickness of  $\approx 20\text{ nm}$  as indicated by the white arrows.

These thin layers were examined closely by elemental mapping and quantitative chemical analysis by point scanning and the detailed analysis revealed no formation of a supersaturated Al solid solution in the matrix of the Al-Mg disk at the edge after 5 HPT turns. The analysis showed the thin layers in Figure 16 are composed of an intermetallic compound of  $\beta\text{-Al}_3\text{Mg}_2$  which has a low density of  $\approx 2.25\text{ g cm}^{-3}$ .<sup>[42,103]</sup> Since the thin layers existed randomly in the Al matrix, the HPT processing synthesized a metal matrix nanocomposite (MMNC) in the formed Al-Mg

system at the disk edge after 5 turns. It is anticipated that  $\beta\text{-Al}_3\text{Mg}_2$  provides an excellent potential for reinforcing the Al MMNC by improving the hardness and strength. Accordingly, the Vickers microhardness analysis showed a maximum hardness of  $Hv \approx 130$  at the disk edge where the MMNC was observed after HPT for 5 turns. This high hardness is significantly higher than  $\approx 65$  for the Al-1050 alloy<sup>[105]</sup> and  $\approx 110$  for the ZK60 alloy<sup>[96]</sup> after HPT for 5 turns and this is due in part to the presence of the intermetallic nanolayers of  $\beta\text{-Al}_3\text{Mg}_2$  in the Al matrix.

The micro-mechanical response was examined for the MMNC at the disk edge in the Al-Mg system after HPT for 5 turns using a nanoindentation facility.<sup>[104]</sup> More than 15 indentations were conducted at each specific phase at the measured locations to provide statistically valid data. All measurements were conducted under a predetermined maximum peak load of  $P_{\text{max}} = 50\text{ mN}$  at four constant indentation strain rates from  $0.0125$  to  $0.1\text{ s}^{-1}$ .

Representative  $P\text{-}h$  curves are shown in Figure 17 for the Al-Mg disk edge measured at the slowest indentation strain rate.<sup>[104]</sup> It is apparent from Figure 17 that there is a wide deviation in plastic behavior for all 15 separate measurements and thus in the plastic instability at the disk edge of the MMNC. It should be noted that the plastic behavior shows smaller displacements for all measurements than the separate Al and Mg phases without formation of MMNC after HPT for 5 turns tested at the same indentation rate.<sup>[104]</sup> Moreover, this plastic instability was observed at all indentation rates although the slower strain rate shows a lower tendency. The constantly small displacements in the Al-Mg system cannot be explained by the simple mixture of Al and Mg phases, but instead the variation in the plastic behavior is due to the existence of  $\beta\text{-Al}_3\text{Mg}_2$  as nanolayers at the peripheral region of the Al-Mg disk after 5 turns.

Subsequently, the strain rate sensitivity,  $m$ , was determined as explained in Equation 2 and shown in Figure 18 for the

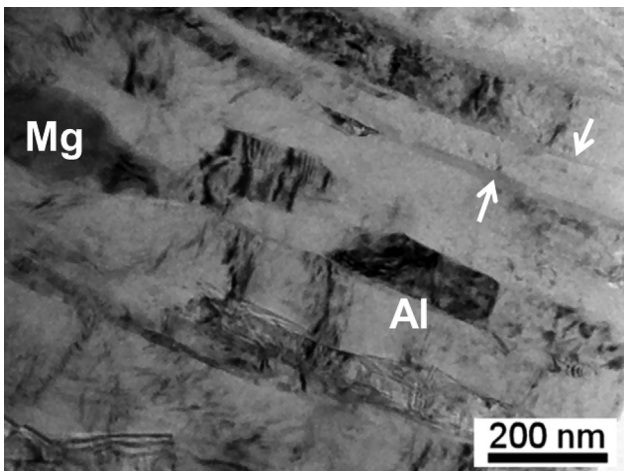


Fig. 16. Bright-field TEM micrograph of an Al-Mg system taken at the disk edge after HPT for 5 turns showing a layered microstructure consisting of an Al matrix region (including one visible Mg phase) with  $90\text{--}120\text{ nm}$  width and thin layers (white arrows) of  $\text{Al}_3\text{Mg}_2$  with  $20\text{ nm}$  width.<sup>[42,103,104]</sup>

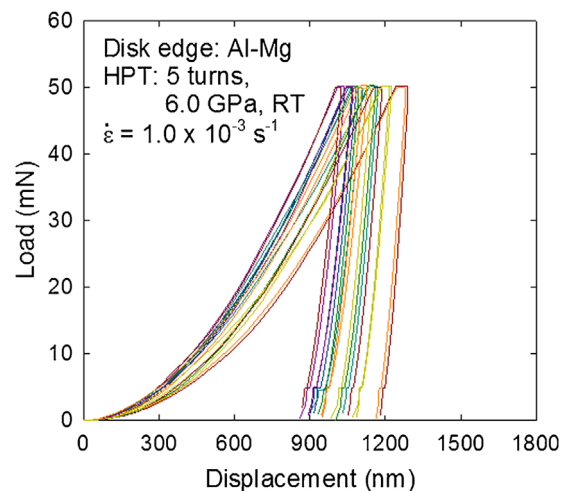


Fig. 17. Representative  $P\text{-}h$  curves obtained through nanoindentation taken at the disk edge of the Al-Mg system after HPT for 5 turn when testing at  $1.0 \times 10^{-3}\text{ s}^{-1}$ .<sup>[104]</sup>

Al–Mg phase at the edge of the disk after HPT for 5 turns.<sup>[104]</sup> It should be noted that the error bar on each datum point represents the standard deviation of the numbers of nano-indentation measurements. The value of  $m < 0.01$  was calculated at the disk edge forming the MMNC. With wider error bars due to the plastic instability especially with increasing indentation strain rates, the estimations imply the possibility of a much smaller strain rate sensitivity in the Al MMNC. Thus, the decreased strain rate sensitivity in the MMNC processed from separate Al and Mg disks is much smaller than the  $m$  values of  $\approx 0.07$  for the commercial purity Al after ARB and ECAP as shown in Figure 7 and  $m \approx 0.043$ – $0.048$  for the ZK60 alloy after HPT through 2 turns as shown in Figure 10.

There are several reports showing a negative strain rate sensitivity in UFG and nanostructured metals including Ti after ECAP followed by cold rolling<sup>[106]</sup> and Al alloys produced through cryomilling.<sup>[107,108]</sup> The behavior involves a strain-rate dependent instability of plasticity which is attributed to the occurrence of dynamic strain aging (DSA) leading to the local formation of solute clusters on forest dislocations resulting in a strengthening of dislocation junctions.<sup>[109]</sup> In addition, there is a limited report of a negative strain rate sensitivity for an MMNC of a powder consolidated aluminum 6092/B<sub>4</sub>C when tested at strain rates of  $< 1.0 \text{ s}^{-1}$ .<sup>[110]</sup> The latter report suggested the occurrence of DSA due to the presence of the fast diffusion of solute atoms interacting with mobile dislocations. Thus, at the Al MMNC in the Al–Mg system after HPT, decreasing plasticity demonstrated by the reduced strain rate sensitivity is feasible because of the interaction of a significant number of dislocations introduced during HPT with the Mg solutes having very rapid diffusivity within the Al matrix.<sup>[42,103]</sup> A recent review describes the acceptance of the fast atomic mobility in UFG metals processed by SPD by recognizing the significant increase in the vacancy concentration during SPD processing.<sup>[111]</sup>

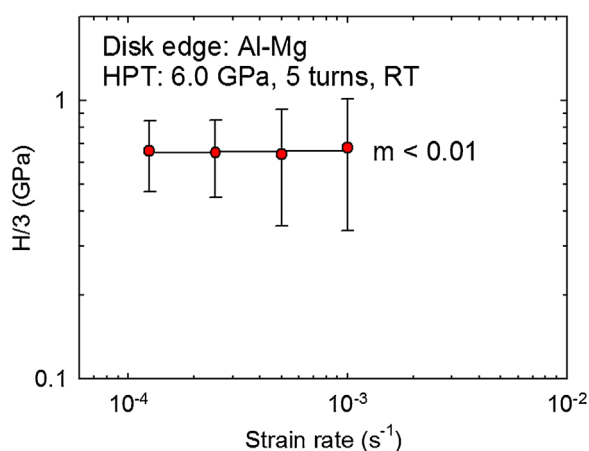


Fig. 18. Distribution of the  $m$  value for the Al–Mg system at the edge of the disk after HPT for 5 turns.<sup>[104]</sup>

## 4. The Strain Rate Sensitivity in UFG Metals Processed by SPD

As noted earlier, an undesirable reduction in ductility occurs in bulk materials having UFG microstructure whereas a significant increase in hardness and strength is achieved by grain refinement through SPD. In addition to grain refinement, it is also well known that UFG materials processed by SPD may receive higher strength by introducing nanoparticles, nano-twins, and non-equilibrium grain boundaries.<sup>[112]</sup> Specifically, the low ductility in the UFG materials is attributed to an interrelationship between the two factors of strain hardening capability and strain rate sensitivity.<sup>[12]</sup> There is generally an increase in strain rate sensitivity which is attributed to higher activity of GBS when the grain size is reduced whereas there is a large decrease in strain hardening by grain refinement in bulk materials.

Nevertheless, as was evident in the improved plasticity in the ZK60, Zn–Al, and HEA alloys through the nanoindentation analysis in the earlier section, the enhancement in ductility of UFG metals at RT is anticipated by the sustained plasticity by activating GBS, and thus to an increase in the  $m$  value with increasing amount of straining by SPD. Several experimental results are now available for the improved  $m$  values in various UFG metals processed from bulk metals by different SPD techniques measured using various testing methods and modes. A comprehensive summary is given in Table 1. In this table, the SPD processing conditions are listed in column 2, the grain sizes achieved after the SPD processing are given in column 3, columns 4–6 give the testing methods, the measured  $m$  values, and the applied strain rate ranges of the measurements, respectively, and finally the reference for each report is listed in the last column.

The results documented in Table 1 show that a UFG microstructure was achieved successfully in many different metals and alloys after separate SPD techniques where the listed samples include pure Al and Al alloys,<sup>[23,24,31,78–80,83,94,113–127]</sup> pure Cu and Cu alloys,<sup>[18,38,62,81,128–137]</sup> pure Cr,<sup>[138]</sup> pure Fe,<sup>[23,62,139]</sup> Mg alloys,<sup>[85,140]</sup> pure Nb,<sup>[82]</sup> pure Ni,<sup>[128,141]</sup> pure Ta,<sup>[62,142]</sup> pure Ti,<sup>[28,143]</sup> pure W,<sup>[144–146]</sup> Pb–62% Sn alloy,<sup>[56]</sup> Zn–Al alloys,<sup>[66,89,147–150]</sup> HEA,<sup>[67]</sup> and MMNC.<sup>[104]</sup> It is apparent that a regular tensile testing method is applied for measuring the  $m$  values in most materials. Nevertheless, several recent studies use nanoindentation analysis and the  $m$  values measured by different measurement methods show reasonable consistency, as seen in the AA1050A aluminum alloy and pure Cu in Table 1. However, the consistency in the  $m$  values measured by tensile testing and nanoindentation may be lost depending on the anisotropy of the testing material after SPD.<sup>[31]</sup>

It is recognized that many studies of UFG materials after SPD in Table 1 use simple metals and alloys having a f.c.c. structure and it is reasonable to evaluate the improved  $m$  value as a function of grain size for UFG Al and UFG Cu processed by various SPD techniques as shown in Figure 19a and b, respectively. The trend of change in the



Table 1. A list of UFG materials processed by various SPD techniques showing strain rate sensitivity,  $m$ , at specific strain rate ranges at room temperature measured in different testing methods and conditions.

Material	Processing	Grain size [ $\mu\text{m}$ ]	Testing method	Strain rate sensitivity, $m$	Strain rate range [ $\text{s}^{-1}$ ]	References
Pure Al (99.99%)	ECAP for 8p at RT	1.2	C-CSR	0.03	$10^{-5}$ – $10^{-2}$	Chinh et al. (2006) <sup>[113]</sup>
Pure Al (99.5%)	ECAP for 8p at RT	0.7	T-CSR	0.022	$1.6 \times 10^{-3}$ – $3.2 \times 10^0$	Miyamoto et al. (2006) <sup>[114]</sup>
AA 1050A	ARB for 8cycles at RT	0.32	T-CSR	0.03	$1 \times 10^{-5}$ – $5 \times 10^{-3}$	Höppel et al. (2004) <sup>[80]</sup>
AA 1050A	ECAP for 8p at RT	0.43	C-SRJ	0.014	$10^{-5}$ – $10^{-3}$	May et al. (2005) <sup>[24]</sup>
AA 1050A	ECAP for 8p at RT	0.27–0.43	C-SRJ	0.01–0.014	$10^{-6}$ – $10^{-3}$	Höppel et al. (2005) <sup>[23]</sup>
AA 1050A	ECAP for 12p at RT	0.80	N-CSR	0.07	$10^{-5}$ – $10^{-3[a]}$	Mueller et al. (2006) <sup>[78]</sup>
AA 1050A	ECAP for 6p at RT	0.50	T-CSR	0.053	$10^{-5}$ – $10^{-3[a]}$	Böhner et al. (2011) <sup>[31]</sup>
			N-CSR	0.068		
	ARB for 8cycles at RT	0.32	T-CSR	0.051		
			N-CSR	0.069		
AA 1050A	ARB for 8cycles at RT	0.32	N-SRJ	0.065 (bulk phase), 0.156 (bond layer)	$5 \times 10^{-4}$ – $5 \times 10^{-2}$	Maier et al. (2011) <sup>[79]</sup>
AA 1050A	ECAP for 8p at RT	0.37	N-Cr	0.069	$4 \times 10^{-6}$ – $3 \times 10^{-3}$	Maier et al. (2013) <sup>[94]</sup>
AA 1050A	ECAP for 8p at RT	0.40	N-SRJ	0.025	$2.5 \times 10^{-5}$ – $2.5 \times 10^{-4[a]}$	Wheeler et al. (2013) <sup>[83]</sup>
Al 1050	ECAP for 4p at RT +cold rolling	0.5–0.6	T-CSR	0.02–0.039	$5 \times 10^{-5}$ – $1 \times 10^{-1}$	Wang and Shan (2008) <sup>[115]</sup>
AA 1050A	ARB for 6cycles at RT	0.30–0.35	T-CSR	0.060–0.062	$10^{-5}$ – $10^{-3}$	Ruppert et al. (2013) <sup>[116]</sup>
Al 1050	ARB for 8cycles at RT	0.3–0.7	T-CSR	0.02	$6.4 \times 10^{-3}$ – $1.3 \times 10^{-1}$	Mohebbi et al. (2014) <sup>[117]</sup>
AA 1070	ECAP for 2p at RT	0.52	T-CSR	0.01–0.017	$3 \times 10^{-4}$ – $1 \times 10^0$	Hockauf and Meyer (2010) <sup>[118]</sup>
	ECAP for 8p at RT	0.31		0.018		
AA 6060	ECAP for 2p at RT	0.51		0.006		
	ECAP for 2p at RT	0.31		0.010		
Al 1570	HPT for 20 turns at RT	0.10	T-CSR	0.02	$10^{-4}$	Valiev et al. (2010) <sup>[119]</sup>
Al 1570	ECAP (true logarithmic strain of 8) at 598 K	1.0 + 20 (bimodal)	T-CSR	0.03	$1 \times 10^3$ – $4 \times 10^4$	Karnavskaya et al. (2012) <sup>[120]</sup>
Al 6063	ECAP for 8p at RT	1.0	T-CSR	0.035	$1.7 \times 10^2$ – $2.8 \times 10^3$	Meyer et al. (2010) <sup>[121]</sup>
Al 6082	ECAP for 8p at 373 K	0.2–0.4	T-SRJ	0.03	$1.1 \times 10^{-5}$ – $1.0 \times 10^{-2}$	Sabirov et al. (2008) <sup>[122]</sup>
Al 6082	ECAP for 8p at 373 K	0.2–0.4	C-SRJ	0.026–0.013	$10^{-5}$ – $10^{-2}$	Sabirov et al. (2009) <sup>[123,124]</sup>
Al–30Zn	HPT for 5 turns at RT	0.38	T-CSR	0.29	$10^{-4}$ – $10^{-3}$	Valiev et al. (2010) <sup>[125]</sup>
Al–30Zn	HPT for 5 turns at RT	0.38	M-CSR	0.22	$6.25 \times 10^{-5}$ – $1.75 \times 10^{-2}$	Chinh et al. (2012) <sup>[126]</sup>
Al–30Zn	HPT for 10 turns at RT	0.35	T-CSR	0.26	$10^{-4}$ – $10^{-2}$	Bobruk et al. (2015) <sup>[127]</sup>
Pure Cu (99.98%)	ECAP (true logarithmic strain of 4–7) at RT	0.3	T-CSR	0.015	$1 \times 10^{-3}$ – $3 \times 10^3$	Gray III et al. (1997) <sup>[128]</sup>
Pure Cu (99.996%)	ECAP for 16p at RT	0.10	T-SRJ	0.14	–	Valiev et al. (2002) <sup>[18]</sup>
Pure Cu (99.99%)	ECAP for 8p at RT	0.3	T-SRJ	0.01–0.025	$6 \times 10^{-7}$ – $1 \times 10^{-4}$	Wang and Ma (2003) <sup>[38,129]</sup>
Pure Cu (99.99%)	ECAP for 12p at RT	0.2	C-SRJ	0.02	$10^{-4}$ – $10^{-3}$	Li et al. (2004) <sup>[130]</sup>
Pure Cu	ECAP for 4p at RT +cold rolling	0.2	T-SRJ	0.019	$7 \times 10^{-6}$ – $2 \times 10^{-4}$	Wei et al. (2004) <sup>[62]</sup>
Pure Cu (99.99%)	MC <sup>[b]</sup> (strain of 7) at RT	$0.39 \pm 0.07$	T-SRJ	0.02–0.023	$10^{-6}$ – $10^{-5}$	Li and Blum (2005) <sup>[131]</sup>
Pure Cu (99.95%)	ECAP up to 16p at RT	0.2–0.3	C-SRJ	0.02–0.024	$10^{-3}$	Dalla Torre et al. (2004, 2006) <sup>[132,133]</sup>
Pure Cu (99.998%)	ECAP for 8p at RT	$0.19 \pm 0.08$	N-CSR	$0.020 \pm 0.007$	$10^{-5}$ – $10^{-3[a]}$	Chen et al. (2006) <sup>[81]</sup>
Pure Cu (99.99%)	ECAP for 12p at RT +cryodrawing + cryorolling	0.23	T-CSR	0.018	$1.7 \times 10^{-4}$	Zhao et al. (2006) <sup>[134]</sup>
Pure Cu	ECAP for 8p at RT	0.2–0.5	C-CSR	0.034	$5 \times 10^{-3}$ – $1 \times 10^{-2}$	Mishra et al. (2008) <sup>[135]</sup>
Pure Cu (99.99%)	ECAP for 2p at RT	0.35–1.0	T-SRJ	0.010	$2 \times 10^{-5}$ – $1 \times 10^{-2}$	Zhao et al. (2009) <sup>[136]</sup>
	ECAP for 16p at RT	0.3		0.014		
Pure Cu (99.99%)	ARB for 8 cycles at RT	0.37	T-SRJ	0.018	$8.3 \times 10^{-5}$ – $8.3 \times 10^{-4}$	Kunimine et al. (2011) <sup>[137]</sup>
Cu–0.41Si	ARB for 10 cycles at RT	0.32		0.013		
Cu–1.64Si	ARB for 6 cycles at RT	0.32		0.011		
Pure Cr	HPT for 10 turns at RT	0.3	N-SRJ	0.02	$5 \times 10^{-3}$ – $5 \times 10^{-2}$	Maier et al. (2015) <sup>[138]</sup>

(Continued)

Table 1. (Continued)

Material	Processing	Grain size [μm]	Testing method	Strain rate sensitivity, <i>m</i>	Strain rate range [s <sup>-1</sup> ]	References
Pure Fe (99.5%)	ECAP for 4p at RT	0.3	C-CSR	0.021	$5 \times 10^{-4}$ – $1 \times 10^0$	Wei et al. (2004) <sup>[139]</sup>
Pure Fe	ECAP for 4p at RT + cold rolling	0.3	T-SRJ	0.009	$7 \times 10^{-6}$ – $2 \times 10^{-4}$	Wei et al. (2004) <sup>[62]</sup>
Pure Fe (99.98%)	ECAP for 6p at RT	0.19–0.41	C-SRJ	0.018	$10^{-6}$ – $10^{-3}$	Höppel et al. (2005) <sup>[23]</sup>
Mg–2.7Zn–0.75Zr–0.45Ag–0.17Ca–0.07Mn	ECAP for 8p at 498 K	0.38 ± 0.05	T-SRJ	0.02	$10^{-4}$ – $10^{-2}$	Dalla Torre et al. (2008) <sup>[140]</sup>
ZK60	HPT for 2 turns at RT	1.0–1.5 + 20 (bimodal)	C-SRJ N-CSR	0.002 0.035–0.045	$1.25 \times 10^{-4}$ – $1.0 \times 10^{-3}$ <sup>[a]</sup>	Choi et al. (2015) <sup>[85]</sup>
Pure Nb (99.9%)	ECAP for 16p at RT	–	N-SRJ N-SRJ	0.035–0.050 0.016	$2.5 \times 10^{-5}$ – $2.5 \times 10^{-3}$ <sup>[a]</sup> $2.7 \times 10^{-3}$	Alkorta et al. (2008) <sup>[82]</sup>
Pure Ni (99.99%)	ECAP (true logarithmic strain of 4–7) at RT	≈0.3	N-Cr T-CSR	0.011–0.014 0.006	$2.7 \times 10^{-5}$ $1 \times 10^{-3}$ – $3 \times 10^3$	Gray III et al. (1997) <sup>[128]</sup>
Pure Ni (99.98%)	HPT for 5 turns at RT	0.10 ± 0.07	T-SRJ	0.015–0.034	$2 \times 10^{-4}$ – $2 \times 10^{-3}$	Dalla Torre et al. (2005) <sup>[141]</sup>
Pure Ta (99.98%)	ECAP for 4p at RT	0.2	C-CSR	0.025	$10^{-3}$ – $10^3$	Wei et al. (2003) <sup>[142]</sup>
Pure Ta	ECAP for 4p at RT + cold rolling	≈0.25	T-SRJ	0.007	$7 \times 10^{-6}$ – $2 \times 10^{-4}$	Wei et al. (2004) <sup>[62]</sup>
Pure Ti (99.5%)	HPT (true logarithmic strain of 7) at RT	0.12	T-SRJ	0.12–0.15	–	Valiev et al. (2003) <sup>[28]</sup>
Pure Ti	ECAP-conform	0.1	C-CSR	0.1	$10^{-4}$ – $10^{-3}$	Lopes et al. (2015) <sup>[143]</sup>
Pure W	ECAP (true strain of 3) at 1 273–1 373 K	0.5	C-CSR	0.025	$10^{-4}$ – $10^3$	Wei et al. (2005) <sup>[144]</sup>
Pure W	ECAP for 4p at 1 273 K	0.5	C-CSR	0.026	$10^{-4}$ – $10^3$	Wei et al. (2006) <sup>[145]</sup>
Pure W	ECAP for 2p at 873 K	0.4	T-SRJ	0.023	$10^{-4}$	Pan et al. (2008) <sup>[146]</sup>
Pure W	ECAP for 2p at 1 073 K	0.8		0.028		
Pure W	ECAP for 4p at 1 073 K	0.3		0.255		
Pb–62Sn	HPT for 1 turn at RT	1.5	N-CSR	0.37–0.45	$10^{-4}$ – $10^2$	Zhang et al. (2016) <sup>[56]</sup>
Pb–62Sn	HPT for 1 turn at RT + natural aging (20days)	2.4		0.30–0.45		
Zn–5Al	ECAP for 8p at RT	0.11–0.54	T-CSR	0.25	$10^{-4}$ – $10^{-2}$	Demirtas et al. (2015) <sup>[147]</sup>
Zn–22Al	ECAP for 4p at RT	0.35	T-CSR	0.25–0.3	$10^{-4}$ – $10^{-2}$	Tanaka and Higashi (2004), <sup>[148]</sup> Tanaka et al. (2004) <sup>[149]</sup>
Zn–22Al	ECAP for 8p at RT	0.55	T-CSR	0.35	$10^{-2}$ – $10^{-1}$	Xia et al. (2008) <sup>[150]</sup>
Zn–22Al	ECAP for 4p at 623 K + 4p at RT	0.15–0.21	T-CSR	0.3	$3 \times 10^{-2}$ – $2 \times 10^{-1}$	Demirtas et al. (2014) <sup>[89]</sup>
Zn–22Al	HPT for 5 turns at RT	0.35	N-CSR	0.226–0.256	$2.5 \times 10^{-4}$ – $10^{-3}$ <sup>[a]</sup>	Choi et al. (2014) <sup>[66]</sup>
Co <sub>20</sub> Cr <sub>20</sub> Fe <sub>20</sub> Mn <sub>20</sub> Ni <sub>20</sub> (HEA)	HPT for 2 turns at RT	0.04	N-CSR	≈0.03	$2.5 \times 10^{-4}$ – $10^{-3}$ <sup>[a]</sup>	Lee et al. (2015) <sup>[67]</sup>
Al–Mg MMNC	HPT for 5 turns at RT	0.19	N-CSR	< 0.01	$2.5 \times 10^{-4}$ – $10^{-3}$ <sup>[a]</sup>	Ahn et al. (2016) <sup>[104]</sup>

C, compression test; T, tensile test; M, microindentation test; N, nanoindentation test; CLR, constant loading rate condition; Creep, creep condition; CSR, constant strain rate condition; SRJ, strain rate jump test process.

<sup>[a]</sup> Nanoindentation strain rate was calculated to equivalent strain rate by applying the empirical relationship.<sup>[65]</sup>

*m* value with reduction in grain size is denoted by the gray arrow in each plot.

It is apparent in Figure 19 that there is a trend of enhanced strain rate sensitivity in both UFG-Al and UFG-Cu with

reduction in grain size through SPD. This consistent trend was shown earlier for UFG and nanocrystalline f.c.c. metals including Al, Cu, and Ni prepared mostly by a bottom-up method<sup>[151]</sup> where the bottom-up strategy involves powder

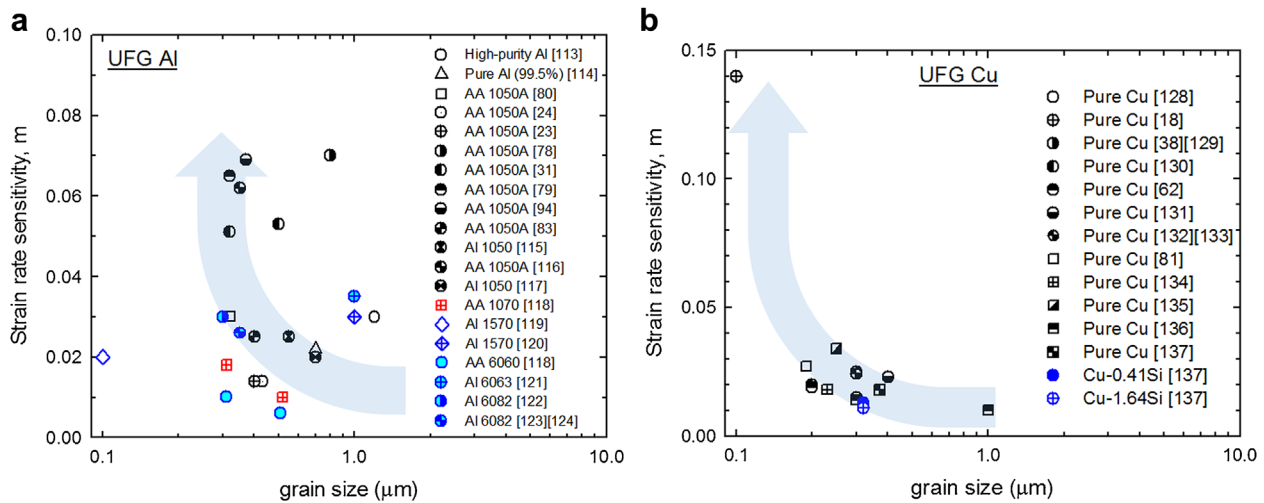


Fig. 19. Strain rate sensitivity,  $m$ , of (a) UFG Al and (b) UFG Cu as a function of grain size. The trend of change in the  $m$  value with reduction in grain size is denoted by the gray arrow in each plot.

metallurgy, inert gas consolidation, or electrodeposition.<sup>[152]</sup> It should be mentioned that, as seen in Table 1, there is at present less information for UFG metals having the body-centered cubic (b.c.c.) and hexagonal close-packed (h.c.p.) structures processed by SPD. Nevertheless, grain refinement through the application of SPD is an excellent strategy for improving overall ductility in the UFG f.c.c. metals by enhancing GBS which compensates for the reduction in strain hardening.

## 5. Summary and Conclusions

- 1) This report reviews the experimental results available to date that demonstrate an enhancement in strength and ductility in terms of the micro-mechanical behavior, especially analyzed through the nanoindentation technique, at room temperature in a range of UFG metals and alloys after SPD processing.
- 2) The nanoindentation technique provides a wide range of information including mechanical properties and the local microstructure. In addition to conventional tensile testing, this technique is promising for UFG materials after SPD where the materials may have smaller overall dimensions and include gradient-type microstructures.
- 3) The available data on UFG materials processed by SPD demonstrate a consistent trend of increasing strain rate sensitivity with reducing grain size which is attributed to the increasing amount of straining during SPD processing. The trend is depicted for UFG Al and UFG Cu samples after different SPD techniques. Thus, grain refinement through SPD provides an excellent strategy for improving tensile ductility as well as high strength in UFG metals by compensating for the reduction in strain hardening.

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